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[b]-Annulated azepane scaffolds were synthesized by a three step sequence starting from commercially available $\beta$-oxoesters. Furthermore, optical activity was introduced by asymmetric allylic alkylation and it was retained throughout the sequence to yield the target motifs with $>97 \%$ ee.

## Asymmetric Synthesis

The enantioselective synthesis of $[b]$-annulated azepanes started with a Tsuji-Trost reaction following Yoshida's protocol:[1] $\beta$ oxoesters 1a-c were asymetrically allylated to furnish the alkenes $\mathbf{2 a - c}$ in good to excellent yields and $>97 \%$ ee (Scheme 1). Olefin cross metathesis between alkenes 2a-c and acrylonitrile afforded the unsaturated oxonitriles 4a-c generally in high yields when the reaction was carried out in dilution ( $c=0.05 \mathrm{~mol} / \mathrm{L}$ ). Catalytic hydrogenation using $\mathrm{Pd} / \mathrm{C}$ at elevated pressures and temperatures led to the reductive cyclization and $[b]$-annulated azepanes 3a-c were successfully obtained in moderate to good yields.


3a, 57\%,
3b, 77\%,
3c, 49\%
4a, 87\%, E/Z 1:3.5
4b, 85\%, E/Z 1:3.0
4c, 82\%, E/Z 1:2.5

Scheme 1. (a) 1.1 eq. $\mathrm{CH}_{2}=\mathrm{CHCH}_{2} \mathrm{OH}, 5 \mathrm{~mol} \% \mathrm{Pd}(\mathrm{OAc})_{2}, 15 \mathrm{~mol} \%$ $\left(4 \mathrm{FC}_{6} \mathrm{H}_{4}\right)_{3} \mathrm{P}, 20 \mathrm{~mol} \% \mathrm{O}$-TBDPS-L-Thr, $\mathrm{PhMe}, 40^{\circ} \mathrm{C}, 18 \mathrm{~h} ;(\mathrm{b}) 3$ eq. $\mathrm{CH}_{2}=\mathrm{CHCN}, 2 \mathrm{~mol} \%$ Hoveyda-Grubbs 2nd gen. (in DCM), PhMe ( 0.05 $\mathrm{mol} / \mathrm{L})$; (c) $\mathrm{H}_{2}$ ( 11 bar ), $10 \% \mathrm{Pd} / \mathrm{C}, 5 \mathrm{eq}$. $\mathrm{AcOH}, \mathrm{MeOH}, 80^{\circ} \mathrm{C}, 1 \mathrm{~d}$.

The relative configurations for each ring size were confirmed by Xray crystallography for different derivatives of azepanes 3a-c and the corresponding ORTEP-representations are shown below.

## Derivatization

In order to determine the degree of retention of optical activity, azepanes 3a-c were trifluoroacetylated (entry 1) and analyzed by GC on a chiral phase revealing that no racemization occured throughout the synthetic sequence. Furthermore, various other acylations and alkylations were carried out to elucidate the scope of the azepane scaffold for derivatization (see Table 1).

Table 1: Amine functionalization.


| \# | $n$ | R | conditions | Yield |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 1, 2, 3 | $\mathrm{CF}_{3} \mathrm{CO}$ | 1.3 eq. TFAA, 1.3 eq. TEA, DCM, $40^{\circ} \mathrm{C}, 2 \mathrm{~h}$ | 95-99\% |
| 2 | 1, 2, 3 | Ac | 1.5 eq. $\mathrm{Ac}_{2} \mathrm{O}, 1.5 \mathrm{eq}$. TEA, DCM, $40^{\circ} \mathrm{C}, 2 \mathrm{~h}$ | 98-100\% |
| 3 | 1, 2, 3 | 4-IC6 $\mathrm{H}_{4} \mathrm{CO}$ | 1.3 eq. $4-\mathrm{IC}_{6} \mathrm{H}_{4} \mathrm{COCI}, 1.3$ eq. TEA, DCM, $40^{\circ} \mathrm{C}, 4 \mathrm{~h}$ | 79-99\% |
| 4 | 1, 2, 3 | Boc | 1.3 eq. $\mathrm{Boc}_{2} \mathrm{O}, 1.3$ eq. TEA, DCM, $40^{\circ} \mathrm{C}$, $1-2 \mathrm{~h}$ | 84-99\% |
| 5 | 1 | $\left(\mathrm{NO}_{2}\right)_{2} \mathrm{C}_{6} \mathrm{H}_{3} \mathrm{CO}$ | 1.5 eq. $3,5-\left(\mathrm{NO}_{2}\right)_{2} \mathrm{C}_{6} \mathrm{H}_{3} \mathrm{COCI}, 1.5$ eq. TEA, DCM, $40^{\circ} \mathrm{C}, 3 \mathrm{~h}$ | 78\% |
| 6 | 1 | Troc | 1.3 eq. TrocCl, 2 eq. Py, DCM, $35^{\circ} \mathrm{C}, 20 \mathrm{~h}$ | 96\% |
| 7 | 1 | $\begin{aligned} & 2,4,6- \\ & i \mathrm{Pr}_{3} \mathrm{C}_{6} \mathrm{H}_{2} \mathrm{SO}_{2} \end{aligned}$ | 1.5 eq. $2,4,6-\mathrm{Pr}_{3} \mathrm{C}_{6} \mathrm{H}_{2} \mathrm{SO}_{2} \mathrm{CI}, 1.5$ eq TEA, cat. DMAP, DCM, $35^{\circ} \mathrm{C}, 19 \mathrm{~h}$ | 93\% |
| 8 | 2 | $\mathrm{ClC}_{6} \mathrm{H}_{4} \mathrm{NHCO}$ | 1.2 eq. $4-\mathrm{CIC}_{6} \mathrm{H}_{4} \mathrm{NCO}, \mathrm{DCM}, 40^{\circ} \mathrm{C}, 21 \mathrm{~h}$ | 93\% |
| 9 | 2 | Bros | 1.9 eq. BrosCI, 2.7 eq. TEA, DCM, $40^{\circ} \mathrm{C}$, 19 h | 80\% |
| 10 | 2 | IBu | 2 eq. $\mathrm{iPrCHO}, 1.5$ eq. $\mathrm{NaCNBH}_{3}, 0.5$ eq. $\mathrm{ZnCl}_{2}, \mathrm{MeOH}, 23^{\circ} \mathrm{C}, 17 \mathrm{~h}$ | 72\% |
| 11 | 2 | 2- $\mathrm{BrC}_{6} \mathrm{H}_{4} \mathrm{CH}_{2}$ | 1.1 eq. $2-\mathrm{BrC}_{6} \mathrm{H}_{4} \mathrm{CH}_{2} \mathrm{Br}, 3$ eq. $\mathrm{K}_{2} \mathrm{CO}_{3}$, $\mathrm{MeCN}, 24^{\circ} \mathrm{C}, 18 \mathrm{~h}$ | 73\% |
| 12 | 2 | $N-B o c-\beta-A l a ~$ | 1.2 eq. $N$-Boc- $\beta$-AlaOH, 1.2 eq. HATU, 1.2 eq. TEA, DCM, $50^{\circ} \mathrm{C}, 17 \mathrm{~h}$ | 57\% |
| 13 | 3 | 4- $\mathrm{BrC}_{6} \mathrm{H}_{4} \mathrm{CH}_{2}$ | 1.2 eq. $4-\mathrm{BrC}_{6} \mathrm{H}_{4} \mathrm{CH}_{2} \mathrm{Br}$, 3 eq. $\mathrm{K}_{2} \mathrm{CO}_{3}, 14$ eq. TEA, $\mathrm{MeCN}, 50^{\circ} \mathrm{C}, 1 \mathrm{~h}$ | 74\% |

## ORTEP representations



Table 1, entry 9


Table 1, entry $3(n=2)$ from rac


Table 1, entry 13

