

# Anti-de Sitter black holes and solitons with scalar hair

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Marcel Grossmann Meeting (MG13)  
Stockholm, Sweden  
5th July 2012

## Collaborations and References

Work done in collaboration with:

**Yves Brihaye** - *Université de Mons, Belgium*

References:

*Y. Brihaye and B. Hartmann, Phys. Rev. D 81 (2010) 126008*

*Y. Brihaye and B. Hartmann, Phys. Rev. D 84 (2011) 084008*

*Y. Brihaye and B. Hartmann, Phys. Rev. D (2012), in press*

# Outline

- 1 Introduction
- 2 The model
- 3 Black holes with hyperbolic horizon ( $k = -1$ )
- 4 Black holes with planar horizon ( $k = 0$ )
- 5 Black holes with spherical horizon ( $k = 1$ )
- 6 Conclusions & Outlook

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# Introduction

- **Anti-de Sitter/Conformal field theory (AdS/CFT) correspondence** applied: use AdS black hole and soliton solutions of classical gravity theory to describe phenomena appearing in strongly coupled Quantum Field Theories  $\Rightarrow$  holographic superconductors, quark-gluon plasma ...
- Instability of black holes in  $d$ -dimensional  $AdS_d$  (radius  $L$ ) with respect to condensation of scalar field related to **Breitenlohner-Freedman bound** on mass  $m$  of scalar field (**Breitenlohner & Freedman, 1982**)

$$m^2 \geq m_{\text{BF},d}^2 = -\frac{(d-1)^2}{4L^2} \Rightarrow AdS_d \text{ stable}$$

# Introduction

- Two type of instabilities
  - (Gubser, 2008) **scalar field charged** under  $U(1)$ , charge  $e$

$$m_{\text{eff}}^2 = m^2 - e^2 |g^{tt}| A_t^2$$

if  $m^2 \geq m_{\text{BF},d}^2$ : asymptotic  $AdS_d$  stable

$e^2 |g^{tt}|$  large close to horizon of black hole  $\Rightarrow m_{\text{eff}}^2 < m_{\text{BF},d}^2$   
 close to horizon  $\Rightarrow$  black hole forms scalar hair

- **uncharged** scalar field  
 near-horizon geometry of **extremal** black holes given by  $AdS_2 \times M_{d-2}$  (Robinson, 1959; Bertotti, 1959; Bardeen & Horowitz, 1999)  
 if  $m_{\text{BF},2}^2 > m^2 > m_{\text{BF},d}^2 \Rightarrow$  asymptotic  $AdS_d$  stable, but black hole forms scalar hair

# Introduction

- gauge/gravity duality relates **strongly coupled** Quantum Field Theories (QFT) to **weakly coupled** gravity theories (and vice versa)
- Einstein gravity corresponds to large  $N$  limit on QFT side
- away from large  $N$  limit on QFT side: include “stringy” corrections on gravity side
- Gauss-Bonnet terms are corrections that appear in low energy effective action of String Theory

Black holes with hyperbolic horizon ( $k = -1$ )Black holes with planar horizon ( $k = 0$ )Black holes with spherical horizon ( $k = 1$ )

Conclusions &amp; Outlook

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# Action

Gauss-Bonnet gravity + scalar field  $\psi$  + U(1) gauge field  $A_\mu$

$$S = \frac{1}{16\pi G} \int d^5x \sqrt{-g} (16\pi G \mathcal{L}_{\text{matter}} + R - 2\Lambda + \frac{\alpha}{4} (R^{\mu\nu\lambda\rho} R_{\mu\nu\lambda\rho} - 4R^{\mu\nu} R_{\mu\nu} + R^2))$$

with matter Lagrangian

$$\mathcal{L}_{\text{matter}} = -\frac{1}{4} F_{MN} F^{MN} - (D_M \psi)^* D^M \psi - m^2 \psi^* \psi, \quad M, N = 0, 1, 2, 3, 4$$

$F_{MN} = \partial_M A_N - \partial_N A_M$  field strength tensor

$D_M \psi = \partial_M \psi - ie A_M \psi$  covariant derivative

$\Lambda = -6/L^2$ : cosmological constant

$G$ : Newton's constant,  $\alpha$ : Gauss-Bonnet coupling

$e$ : gauge coupling,  $m^2$ : mass of the scalar field  $\psi$

# Ansatz for static solutions

- Metric

$$ds^2 = -f(r)a^2(r)dt^2 + \frac{1}{f(r)}dr^2 + \frac{r^2}{L^2}d\Sigma_{k,3}^2$$

with

$$d\Sigma_{k,3}^2 = \begin{cases} d\Xi_3^2 & \text{for } k = -1 \text{ hyperbolic horizon} \\ dx^2 + dy^2 + dz^2 & \text{for } k = 0 \text{ flat horizon} \\ d\Omega_3^2 & \text{for } k = 1 \text{ spherical horizon} \end{cases}$$

- Matter fields

$$A_M dx^M = \phi(r)dt, \quad \psi = \psi(r)$$

# Equations of motion

$$\begin{aligned}
 f' &= 2r \frac{k - f + 2r^2/L^2}{r^2 + 2\alpha(k - f)} \\
 &\quad - \gamma \frac{r^3}{2fa^2} \left( \frac{2e^2\phi^2\psi^2 + f(2m^2a^2\psi^2 + \phi'^2) + 2f^2a^2\psi'^2}{r^2 + 2\alpha(k - f)} \right) \\
 a' &= \gamma \frac{r^3(e^2\phi^2\psi^2 + a^2f^2\psi'^2)}{af^2(r^2 + 2\alpha(k - f))} \\
 \phi'' &= - \left( \frac{3}{r} - \frac{a'}{a} \right) \phi' + 2 \frac{e^2\psi^2}{f} \phi \\
 \psi'' &= - \left( \frac{3}{r} + \frac{f'}{f} + \frac{a'}{a} \right) \psi' - \left( \frac{e^2\phi^2}{f^2a^2} - \frac{m^2}{f} \right) \psi
 \end{aligned}$$

where  $\gamma = 16\pi G$

# Boundary conditions

- Regular horizon at  $r = r_h > 0$

$$f(r_h) = 0 \quad , \quad a(r_h) \text{ finite}$$

$$\phi(r_h) = 0 \quad , \quad \psi'(r_h) = \frac{m^2 \psi (r^2 + 2\alpha k)}{2rk + 4r/L^2 - \gamma r^3 (m^2 \psi^2 + \phi'^2 / (2a^2))} \Big|_{r=r_h}$$

## Behaviour on the AdS boundary

- Matter field

$$\phi(r \gg 1) = \mu - \frac{Q}{r^2}, \quad \psi(r \gg 1) = \frac{\psi_-}{r^{\lambda_-}} + \frac{\psi_+}{r^{\lambda_+}}$$

where

$$\lambda_{\pm} = 2 \pm \sqrt{4 + m^2 L_{\text{eff}}^2}$$

with effective AdS radius

$$L_{\text{eff}}^2 \equiv \frac{2\alpha}{1 - \sqrt{1 - 4\alpha/L^2}} \sim L^2 \left( 1 - \alpha/L^2 + O(\alpha^2) \right)$$

Q: charge ( $k = 1$ ); charge density ( $k = -1, k = 0$ )

# Behaviour on the AdS boundary

## Asymptotically Anti-de Sitter space-time

- Metric functions

$$f(r \gg 1) = k + \frac{r^2}{L_{\text{eff}}^2} + \frac{f_2}{r^2} + O(r^{-4})$$

$$a(r \gg 1) = 1 + \frac{a_4}{r^4} + O(r^{-6})$$

$f_2, a_4$  constants (have to be determined numerically)

# Properties of black holes

- **specific heat**

$$C = T_H (\partial S / \partial T_H)$$

in canonical ensemble:  $C > 0 \Rightarrow$  black hole stable

$C < 0 \Rightarrow$  black hole unstable

- **Energy  $E$**

$$\frac{16\pi GE}{V_3} = \sqrt{1 - \frac{\alpha}{L^2}} \left( -3f_2 - 8 \frac{a_4}{L_{\text{eff}}^2} \right)$$

$V_3$ : volume of the 3-dimensional space

- **Free energy  $F = E - T_H S$  with Hawking temperature  $T_H$  and entropy  $S$**

$$T_H = \frac{f'(r_h)a(r_h)}{4\pi}, \quad \frac{S}{V_3} = \frac{r_h^3}{4G} \left( 1 - \frac{6\alpha}{r_h^2} \right)$$

# Black holes without scalar hair

(Boulware & Deser, 1982; Cai, 2003)

For  $m^2 > m_{\text{BF},2}^2 \Rightarrow \psi(r) \equiv 0$  and

$$\phi(r) = \frac{Q}{r_h^2} - \frac{Q}{r^2}$$

$$f(r) = k + \frac{r^2}{2\alpha} \left( 1 - \sqrt{1 - \frac{4\alpha}{L^2} + \frac{4\alpha M}{r^4} - \frac{4\alpha\gamma Q^2}{r^6}} \right), \quad a(r) \equiv 1$$

$M$ : mass parameter,  $Q$ : charge (density)  
event horizon at  $f(r_h) = 0$



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# Uncharged black holes for $k = -1$

- Uncharged black holes  $Q = 0$ ; uncharged scalar field  $e = 0$
- for  $k = -1$  extremal solution with  $f(r_h) = 0$ ,  $f'(r)|_{r=r_h} = 0$  exists
- extremal solution has  $T_H = 0$ ,  $r_h^{(\text{ex})} = L/\sqrt{2}$
- close to **extremality** horizon topology is  $AdS_2 \times H^3$   
(Astefanesei, Banerjee & Dutta, 2008)
- hyperbolic Gauss-Bonnet black holes in  $d = 5$  have  $AdS_2$  radius

$$R = \sqrt{L^2/4 - \alpha}$$

(Y. Brihaye & B.H., PRD 84, 2011)

- asymptotic  $AdS_5$  stable, near-horizon  $AdS_2$  unstable for

$$m_{\text{BF},5}^2 = -\frac{4}{L_{\text{eff}}^2} \leq m^2 \leq -\frac{1}{4R^2} = m_{\text{BF},2}^2$$

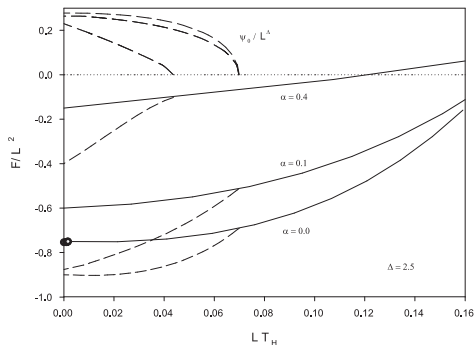
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Conclusions &amp; Outlook

# Black holes with scalar hair, $\gamma \neq 0$ , $\alpha \neq 0$

(Y. Brihaye & B.H., PRD 84, 2011)

- black holes with scalar hair **thermodynamically preferred**



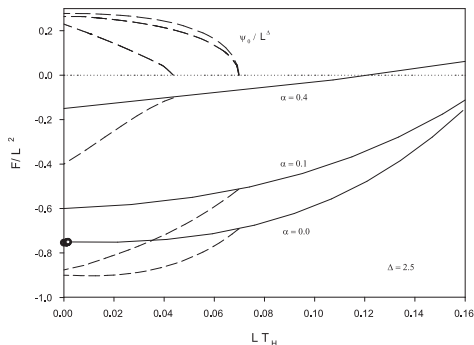
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# Black holes with scalar hair, $\gamma \neq 0$ , $\alpha \neq 0$

(Y. Brihaye & B.H., PRD 84, 2011)

- the larger  $\alpha$  the lower  $T_H$  at which instability appears

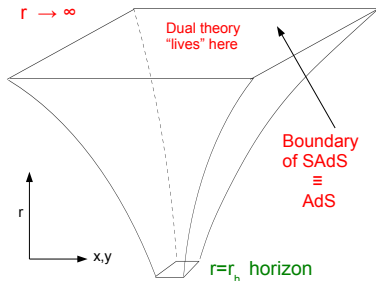


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# Black holes with planar horizon in AdS

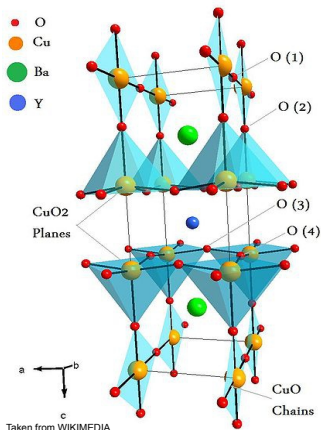
- $k = 0$ : planar horizon
- charged scalar field  $e \neq 0$
- $r \rightarrow \infty$ : planar AdS boundary



Taken from arxiv: 0808.1115

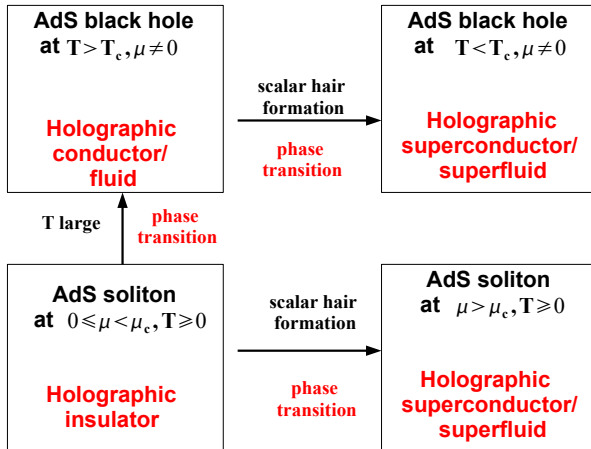
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# Applications to holographic superconductors



- Example of high temperature superconductor: Yttrium(Y)-barium(BA)-copper(Cu)-oxide(O)
- highest possible  $T_c = 92\text{K}$
- **superconductivity associated to CuO<sub>2</sub>-planes**
- BCS theory not valid
- **strongly interacting Quantum field theories**

# Holographic phase transitions





## Including Gauss-Bonnet corrections

- **Mermin-Wagner theorem:** spontaneous symmetry breaking forbidden in (2+1) dimensions at finite temperature, but holographic superconductors (in Einstein gravity) have been constructed (see e.g. (Hartnoll, Herzog & Horowitz, 2008))

### Q: Can Gauss-Bonnet corrections suppress condensation?

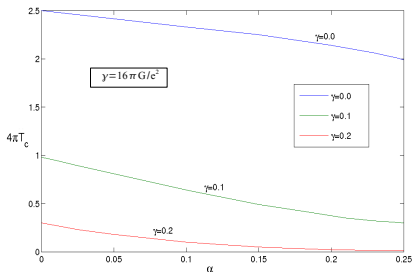
- for  $G = 0$  (no backreaction): condensation can **not** be suppressed for (3+1)-dimensional Holographic Gauss-Bonnet superconductors  
(Gregory, Kanno & Soda, 2009)

### Q: Can backreaction suppress condensation?

# Including Gauss-Bonnet corrections

(Brihaye & B. Hartmann, Phys. Rev. D 81, 2010)

- Gauss-Bonnet coupling  $0 \leq \alpha \leq L^2/4$



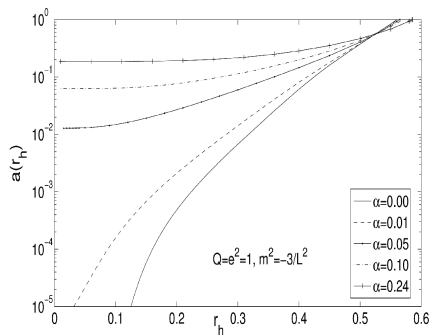
$\Rightarrow$  condensation gets harder for  $\alpha > 0$ , but not suppressed

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# Charged black hole with scalar hair, $k = 1$

(Brihaye & B. Hartmann, Phys. Rev. D, in press)

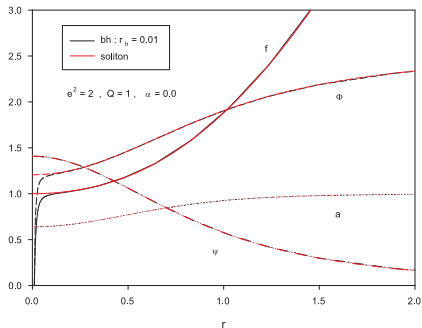


- For small  $\alpha$ : solution exists down to  $r_h = 0$   
 → **soliton?**
- For large  $\alpha$ : solution has  $a(r_h) \rightarrow 0$  for  $r_h \rightarrow r_h^{(cr)} > 0$   
 → **extremal black hole?**

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# Charged black hole with scalar hair, $k = 1$

(Brihaye & B. Hartmann, Phys. Rev. D, in press)



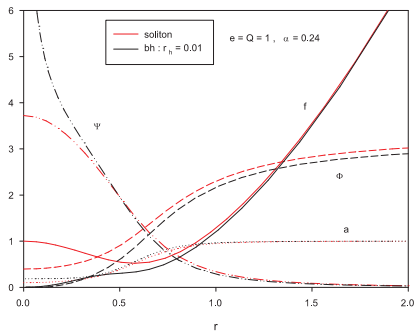
For  $\alpha = 0$ :

- Black hole tend to soliton solutions in the limit  $r_h \rightarrow 0$

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# Charged black hole with scalar hair, $k = 1$

(Brihaye & B. Hartmann, Phys. Rev. D, in press)



$\alpha \neq 0$ :

- Gauss-Bonnet solitons with scalar hair exist
- black holes with scalar hair do **not** tend to corresponding solitons for  $r_h \rightarrow 0$

# Charged black hole with scalar hair, $k = 1$

(Brihaye & B. Hartmann, Phys. Rev. D, in press)

**There exist no extremal Gauss-Bonnet black holes with scalar hair.**

# Charged black hole with scalar hair, $k = 1$

*Proof:*

- assume near-horizon geometry to be  $AdS_2 \times S^3$ :

$$ds^2 = v_1 \left( -\rho^2 d\tau^2 + \frac{1}{\rho^2} d\rho^2 \right) + v_2 \left( d\psi^2 + \sin^2 \psi \left( d\theta^2 + \sin^2 \theta d\varphi^2 \right) \right)$$

$v_1, v_2$ : positive constants

- Combination of equations of motion yields

$$0 = 16\pi G \left( \frac{\rho^2}{v_1} \psi'^2 + \frac{e^2 \phi^2 \psi^2}{\rho^2 v_1} \right)$$

This leads to:  $\psi' = 0$  and  $\phi^2 \psi^2 = 0$  in near horizon geometry

- $\phi^2 = 0$  ruled out  $\rightarrow \psi \equiv 0$  in near horizon geometry q.e.d.



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# Conclusions

- Two mechanisms can make AdS black holes unstable to scalar condensation
  - **uncharged scalar field:** black holes with  $AdS_2$  factor in near-horizon geometry (near-extremal black holes)  
*Example in this talk: uncharged, static black holes with hyperbolic horizon ( $k = -1$ )*
  - **charged scalar field:** coupling to gauge field lowers effective mass of scalar field  
*Examples in this talk: charged, static black holes with flat or spherical horizon ( $k = 0, k = 1$ )*
- Black holes with scalar hair thermodynamically preferred

# Outlook

- Instabilities of other black holes in  $AdS_d$ 
  - charged and rotating Einstein black holes:  
Y. Brihaye & B.H., JHEP, 2012
  - charged and rotating Gauss-Bonnet black holes:  
Y. Brihaye & B.H., in preparation
- Implications on CFT side?
- Implications for stability of String Theory/Supergravity black holes?