

# The Many Faces of Black Holes

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# Outline

- 1 Introduction
  - General Relativity
  - Schwarzschild Black Holes
  - Kerr Black Holes
- 2 Astrophysical Black Holes
  - Stellar Mass Black Holes
  - Galactic Black Holes
- 3 Microscopic Black Holes
  - The Standard Model
  - Kerr–Newman Black Holes
  - Static Non-Abelian Black Holes
  - Rotating Einstein–Maxwell–Dilaton Black Holes
- 4 Conclusions and Outlook

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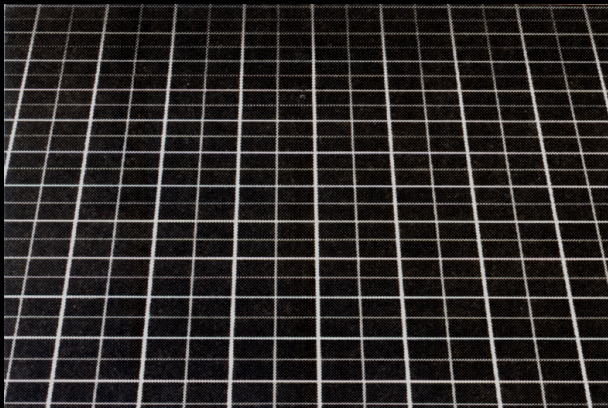
# Flat Space–Time

- metric of Euclidean space

$$ds^2 = dx^2 + dy^2 + dz^2$$

- metric of Minkowski space-time

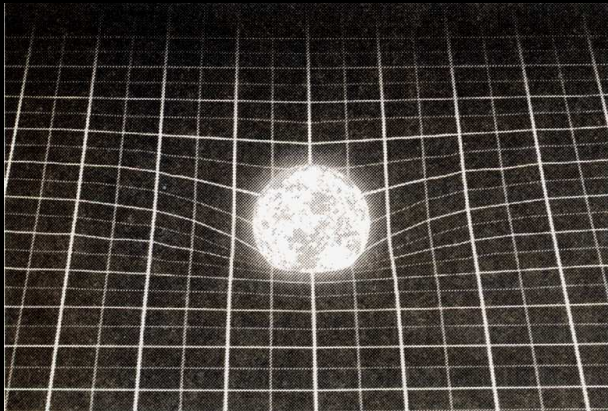
$$ds^2 = -dt^2 + dx^2 + dy^2 + dz^2$$



# Curved Space–Time

- metric of curved space-time

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

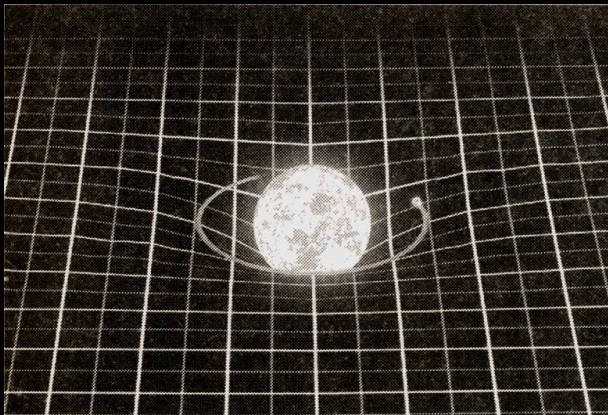




# Motion in Curved Space–Time

- motion in curved space–time

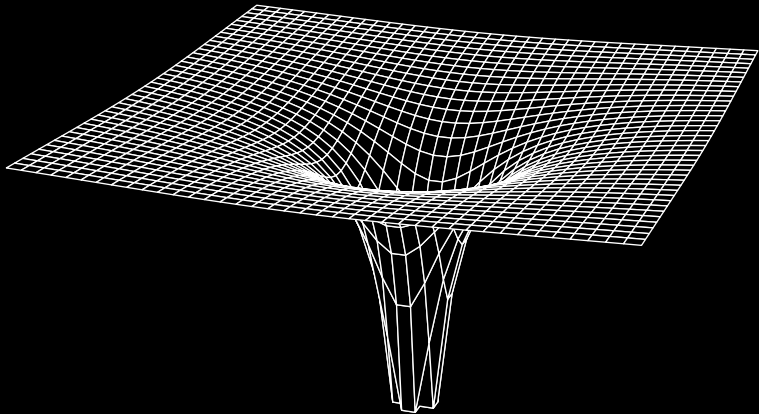
$$0 = \frac{d^2 x^\mu}{ds^2} + \left\{ \begin{matrix} \mu \\ \rho\sigma \end{matrix} \right\} \frac{dx^\rho}{ds} \frac{dx^\sigma}{ds}$$



# Strongly Curved Space–Time

- metric of curved space–time

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$



# Einstein Equations

- metric

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

- Einstein equations

matter tells space how to curve

$$G_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$

$G_{\mu\nu}$ : Einstein tensor

$T_{\mu\nu}$ : energy-momentum tensor

- equations of motion for matter/radiation

metric  $g_{\mu\nu}$  tells matter how to move

# Einstein Equations



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# Schwarzschild Metric

## Schwarzschild 1916

- space-time outside a star:  $T_{\mu\nu} = 0$

$$ds^2 = -N(r) c^2 dt^2 + \frac{1}{N(r)} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$$

$$N(r) = 1 - \frac{2GM}{c^2 r}$$

static spherically symmetric metric

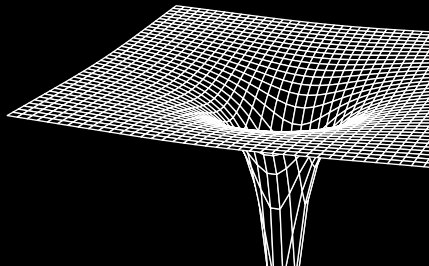
remark: Minkowski space-time has

$$N(r) = 1$$

- space-time inside a star:  $T_{\mu\nu} \neq 0$



Karl Schwarzschild 1873 — 1916



# Schwarzschild Singularity

- Schwarzschild space-time

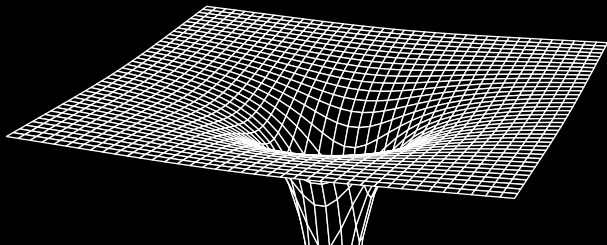
$$ds^2 = -N(r) c^2 dt^2 + \frac{1}{N(r)} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$$

$$N(r) = 1 - \frac{2GM}{c^2 r} = 1 - \frac{r_H}{r}$$

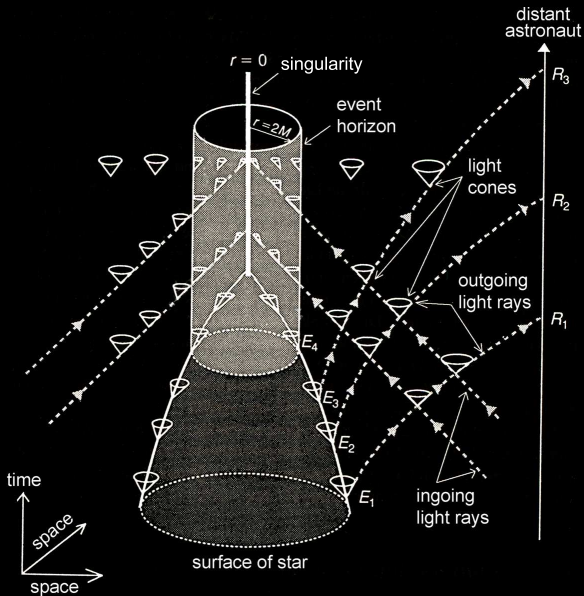
- black holes:  $M$
- Schwarzschild radius  $r_H$

$$N(r_H) = 0 : r_H = \frac{2GM}{c^2}$$

- event horizon
- coordinate singularity
- true singularity  $r = 0$

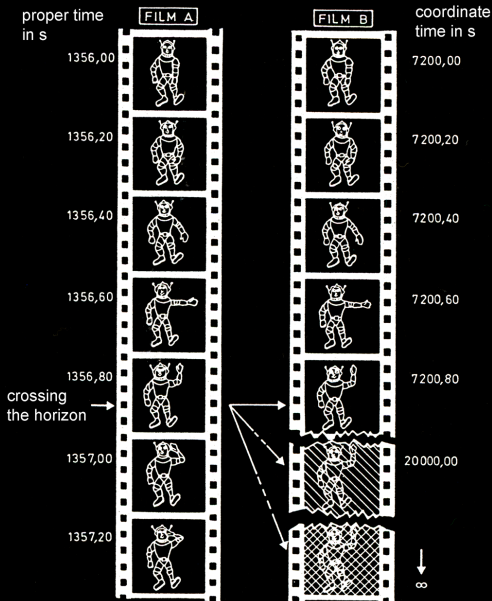


# Formation of a Black Hole

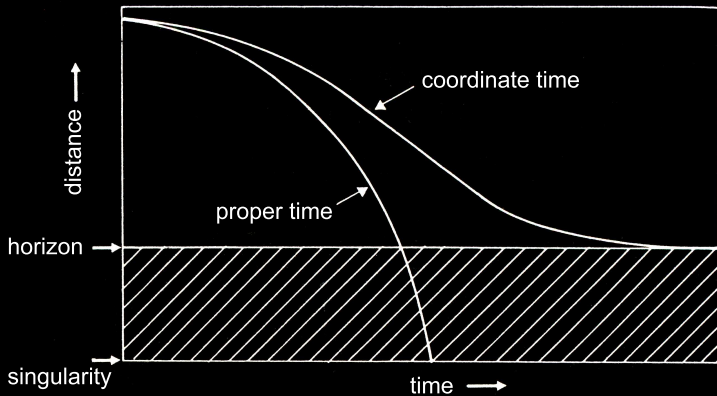




# Event Horizon



# Event Horizon

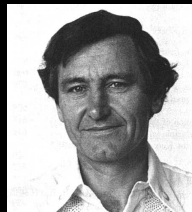


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# Rotating Black Holes

rotating generalization of the Schwarzschild black holes: Kerr (1965)



Roy Kerr \*1934

Kerr metric in Boyer–Lindquist coordinates

$$ds^2 = -\frac{\Delta}{\rho^2} (dt - a \sin^2 \theta d\phi)^2 + \frac{\sin^2 \theta}{\rho^2} (adt - \rho_0^2 d\phi)^2 + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2$$

$$\rho^2 = r^2 + a^2 \cos^2 \theta, \quad \rho_0^2 = r^2 + a^2, \quad \Delta = r^2 - 2Mr + a^2$$

$a$  is the specific angular momentum:  $a = \frac{J}{M}$

$a = 0$ : Schwarzschild

# Kerr Black Holes in the Equatorial Plane

metric in Boyer–Lindquist coordinates:

equatorial plane:  $\theta = \pi/2$

through center of black hole, perpendicular to the spin axis

$$\begin{aligned}
 ds^2 = & - \left( 1 - \frac{2M}{r} \right) dt^2 - \frac{4Ma}{r} dt d\phi \\
 & + \frac{dr^2}{1 - \frac{2M}{r} + \frac{a^2}{r^2}} + \left( 1 + \frac{a^2}{r^2} + \frac{2Ma^2}{r^3} \right) r^2 d\phi^2
 \end{aligned}$$

comparison with Schwarzschild ( $a \neq 0$ )

- $dt^2$       Term: **static limit**
- $dt d\phi$     Term: **frame dragging** and **Lense–Thirring**
- $dr^2$       Term: **event horizon**

# Event Horizon of Kerr Black Holes

First new feature

coordinate singularity:

$$1 - \frac{2M}{r} + \frac{a^2}{r^2} = 0$$

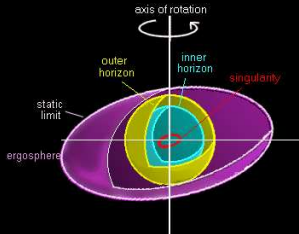
radial coordinate of the horizon  $r_H$

$$r_H = M \pm \sqrt{M^2 - a^2}$$

- $a < M$ 
  - +: event horizon of the black hole
  - -: inner horizon

maximal angular momentum  $a = M$  :  
extremal black hole

- $a > M$ : naked singularity (Cosmic Censorship)



black hole with horizons



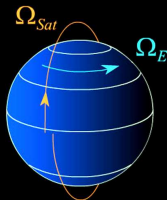
Sir  
Roger Penrose  
\*1931

# Gravitomagnetism

## Second new feature

- The product  $dt d\phi$  implies that the coordinates  $t$  and  $\phi$  are intimately linked.
- The Kerr metric predicts **Lense–Thirring effect** and **frame dragging**.

What does **Lense–Thirring** mean?



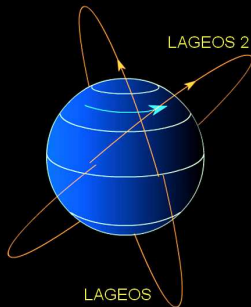
- Schwarzschild: radial rocket thrust is required to keep a stationary observer at a fixed radius
- Kerr: additional tangential rocket thrust is required to keep an observer at a stationary position, i.e., a position from which the fixed stars do not appear to move

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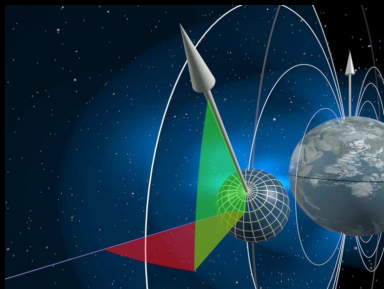


# Gravitomagnetism

## Second new feature

- The product  $dt d\phi$  implies that the coordinates  $t$  and  $\phi$  are intimately linked.
- The Kerr metric predicts **Lense–Thirring effect** and **frame dragging**.

What does **frame dragging** mean?



- Schwarzschild: gyroscopes always point in the same direction
- Kerr: gyroscopes start to precess, i.e., the direction with respect to distant stars changes

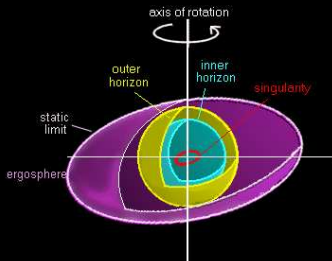
# Static Limit of Kerr Black Holes

## Third new feature

The coefficient of  $dt^2$  goes to zero at the **static limit**

- in the equatorial plane  $r_S = 2M$
- for radii smaller than  $r_S$  (but greater than  $r_H$ ) an observer cannot remain at rest
- the space between the static limit and the event horizon is called ergosphere
- inside the ergosphere an observer is inexorably dragged along in the direction of rotation of the black hole
- horizon velocity:

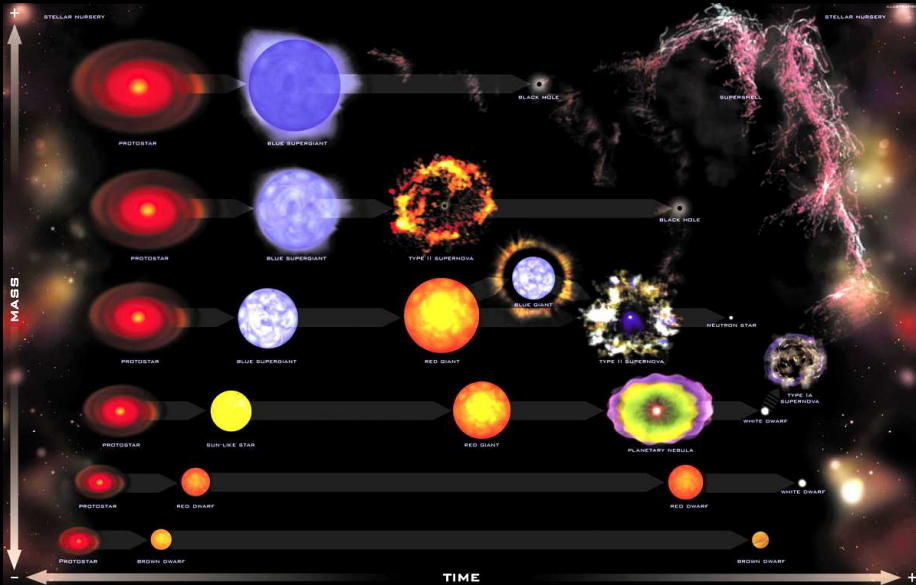
$$\Omega = \frac{a}{r_+^2 + a^2}$$



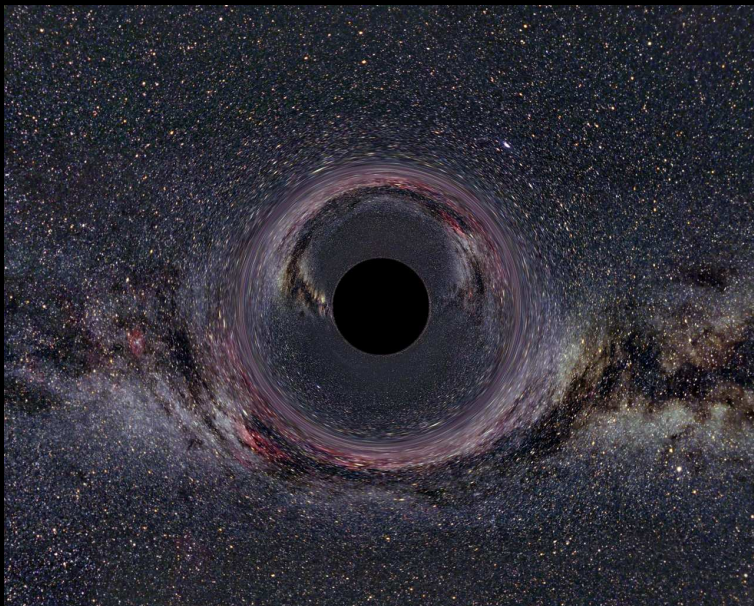
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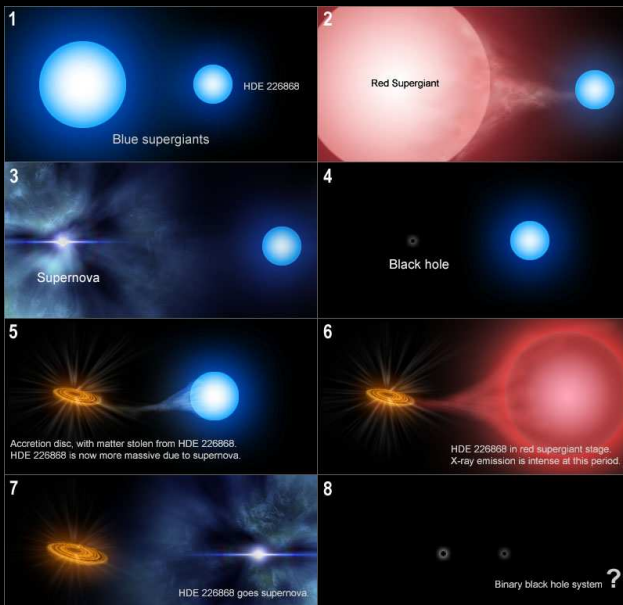
# Stellar Evolution



# Detection of Stellar Mass Black Holes

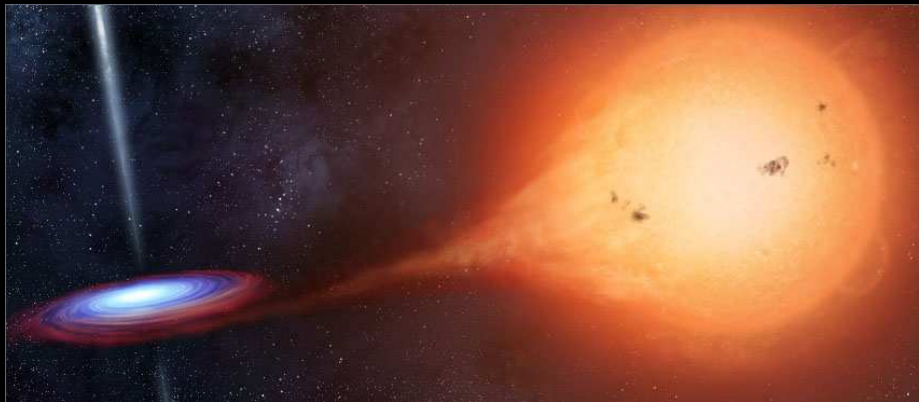


# Artist's View of a Binary System



Formation of  
Cygnus X-1  
and its possible future

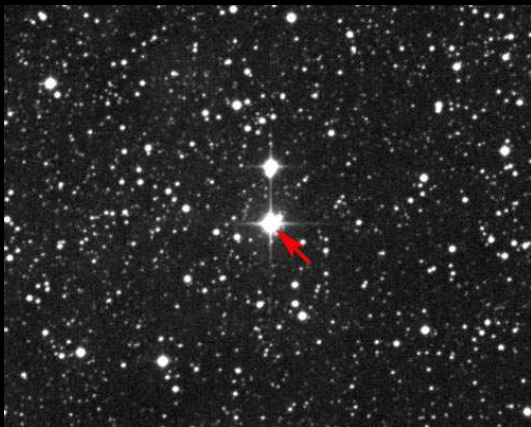
# Artist's View of a Binary System



## Cygnus X-1:

- O9-B0 supergiant ( $T = 31,000$  K) and a compact object.
- mass of the supergiant  $\sim 20 - 30 M_{\odot}$ .
- mass of compact object  $\sim 7 - 13 M_{\odot}$
- distance to Cygnus X-1  $\sim 2500$  parsec  $\sim 8000$  ly

# Candidates



Cygnus X-1



# Evidence for stellar black holes

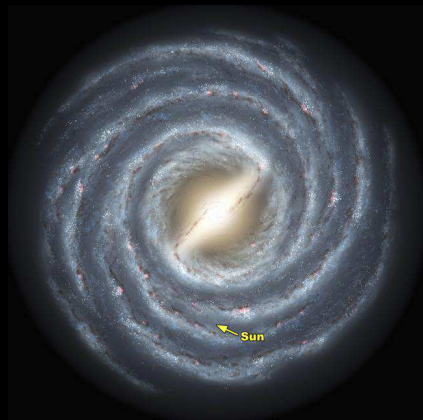
Stellar Black Hole Candidates in the Milky Way (R. Blandford & N. Gehrels 1999)

X-Ray Source Name	Mass of Companion (in $M_{\odot}$ )	Mass of Black Hole (in $M_{\odot}$ )
Cgnus X-1	24 – 42	11 – 21
V404 Cygni	$\sim 0.6$	10 – 15
GS 2000+25	$\sim 0.7$	6 – 14
H 1705-250	0.3 – 0.6	6.4 – 6.9
GRO J1655-40	2.34	7.02
A 0620-00	0.2 – 0.7	5 – 10
GS 1124-T68	0.5 – 0.8	4.2 – 6.5
GRO J042+32	$\sim 0.3$	6 – 14
4U 1543-47	$\sim 2.5$	2.7 – 7.5

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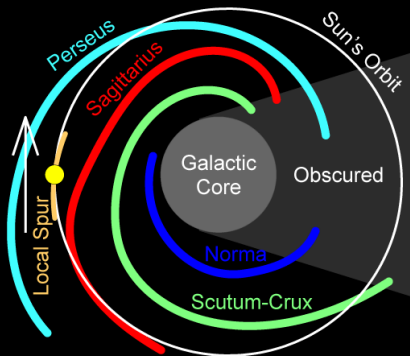
# Milky Way



## The Milky Way

- Diameter: 100,000 ly = 30 kpc
- Thickness: 3,000 ly = 0.92 kpc
- Thickness of bulge: 16,000 ly = 5 kpc
- Mass:  $1.9 \cdot 10^{12} M_{\odot}$
- Number of stars:  $3 \cdot 10^{11}$
- Rotation velocity of sun: 1 orbit per  $\sim 240 \cdot 10^6$  y

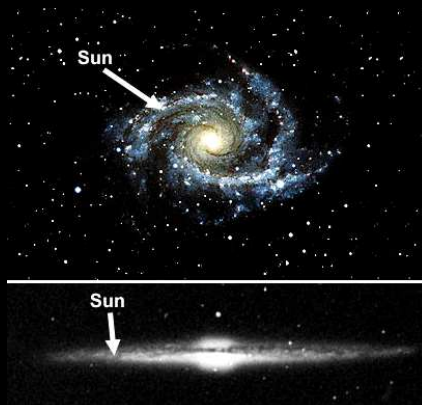
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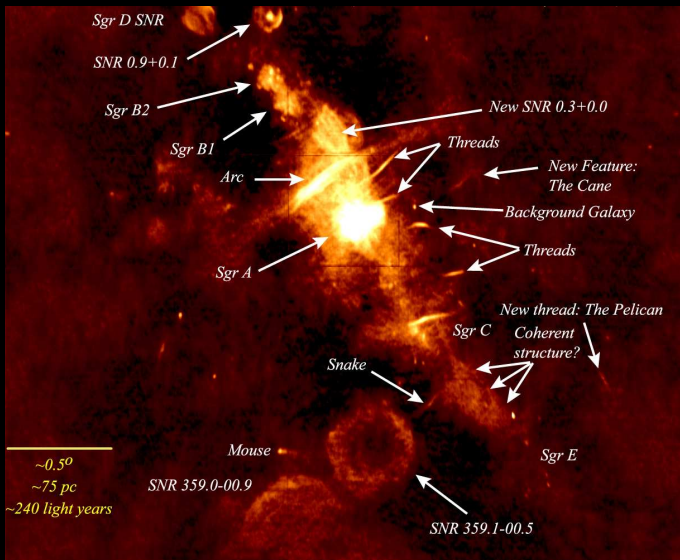
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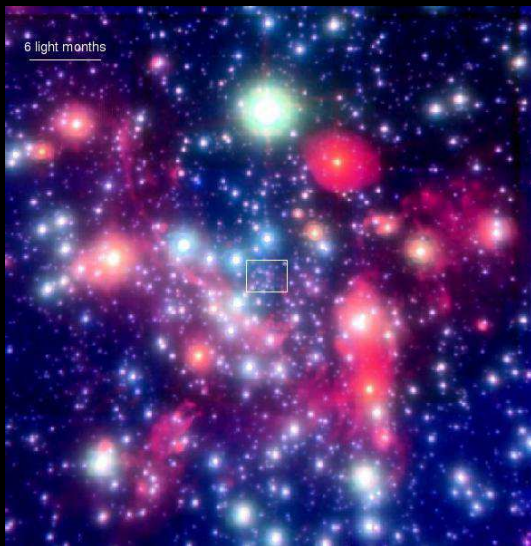
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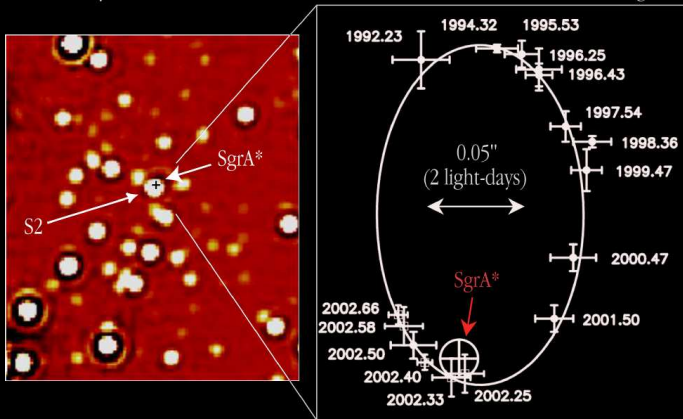
# Black Hole at the Center of the Milky Way



# Black Hole at the Center of the Milky Way

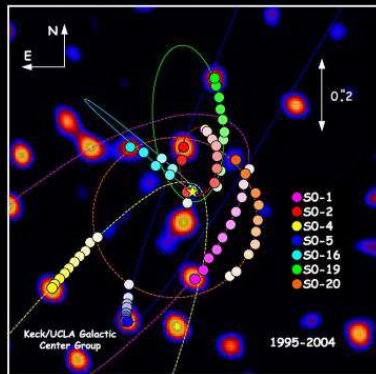


# Black Hole at the Center of the Milky Way





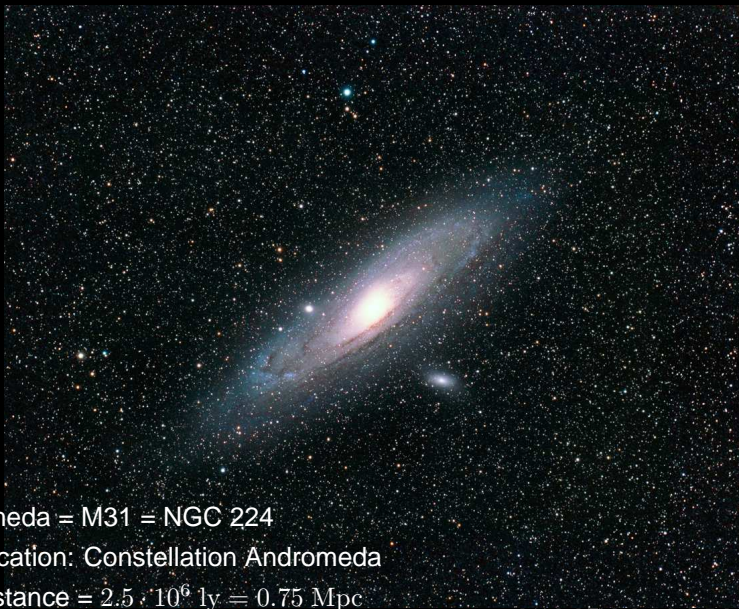
# Black Hole at the Center of the Milky Way



**Black Hole:** mass  $3.7 \cdot 10^6 M_{\odot}$  (Yusuf-Zasdeh *et al. Astrophys. J.* **644**, 198 (2006))  
 angular velocity  $\sim 1/17$  min

R. Genzel (1995 – 2006)

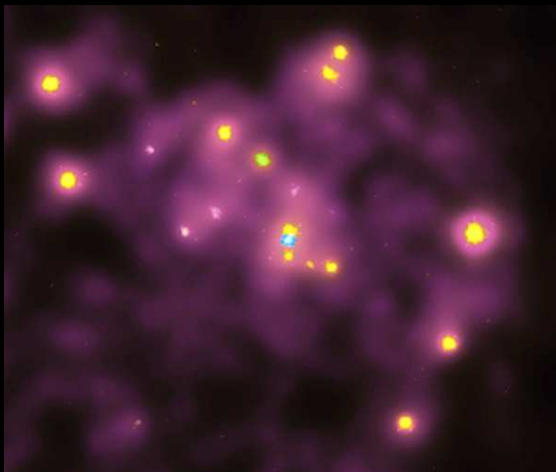
# Andromeda Galaxy



Andromeda = M31 = NGC 224

- Location: Constellation Andromeda
- Distance =  $2.5 \cdot 10^6$  ly = 0.75 Mpc

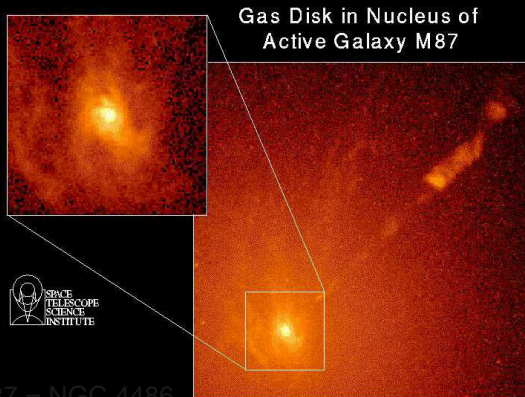
# Andromeda Galaxy



## Andromeda

- Supermassive black hole  $M = 30 \cdot 10^6 M_{\odot}$
- Measuring method: X-rays

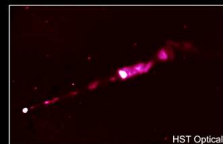
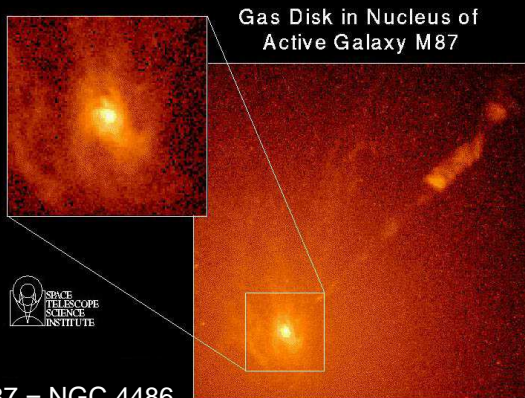
# Elliptical Galaxy



M87 = NGC 4486

- location: center of Virgo cluster
- distance =  $6 \cdot 10^7$  ly = 18 Mpc
- mass =  $2.7 \cdot 10^{12} M_{\odot}$
- supermassive black hole  $M = 3 \cdot 10^9 M_{\odot}$
- measuring method: motion of gas

# Elliptical Galaxy



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- location: center of Virgo cluster
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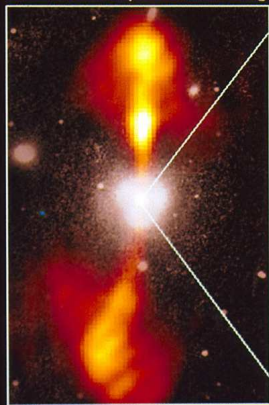
# Accretion Disk

## Core of Galaxy NGC 4261

Hubble Space Telescope

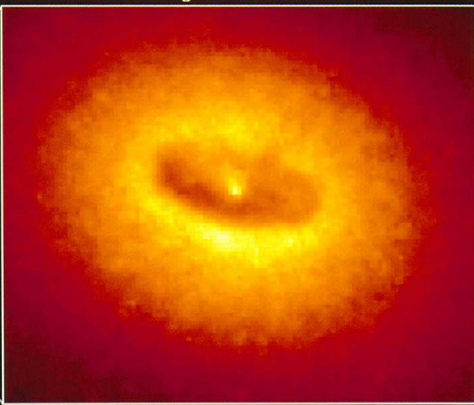
Wide Field / Planetary Camera

Ground-Based Optical/Radio Image



380 Arc Seconds  
88,000 LIGHTYEARS

HST Image of a Gas and Dust Disk



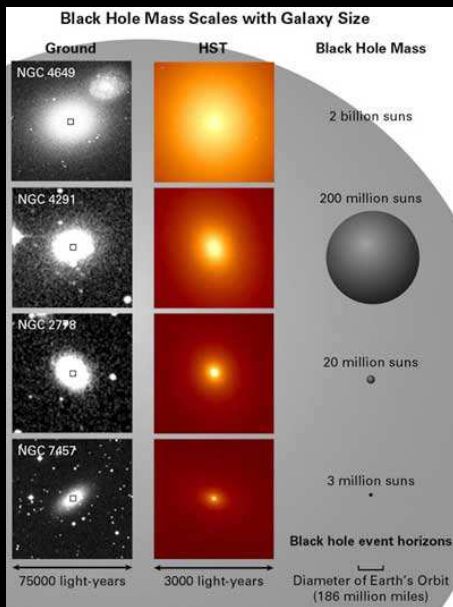
17 Arc Seconds  
400 LIGHTYEARS

# Evidence for black holes

## Supermassive Black Hole Candidates (M.J. Rees 1997)

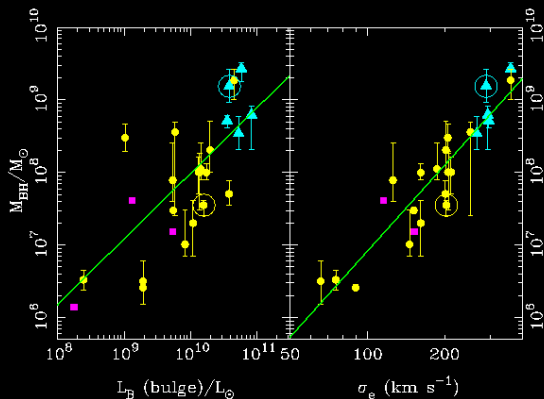
Name	Mass (in $M_{\odot}$ )	Method
M87	$3 \cdot 10^9$	stars + optical disc
NGC 3115	$10^9$	stars
NGC 4486 B	$5 \cdot 10^8$	stars
NGC 4594 (Sombrero)	$5 \cdot 10^8$	stars
NGC 3377	$8 \cdot 10^7$	stars
NGC 3379	$5 \cdot 10^7$	stars
NGC 4258	$4 \cdot 10^7$	masing H <sub>2</sub> O disc
M 31 (Andromeda)	$3 \cdot 10^7$	stars
M 32	$3 \cdot 10^6$	stars
Galactic Centre	$2.5 \cdot 10^6$	stars + (3D motions)

# Correlation of Black Hole and Galaxy Size



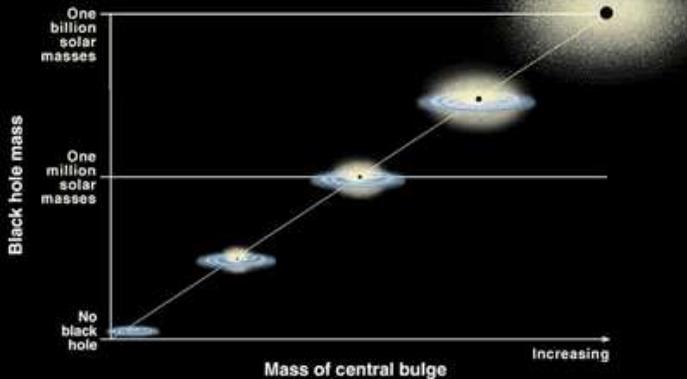


# Correlation of Black Hole and Galaxy Size



# Correlation of Black Hole and Galaxy Size

## Correlation Between Black Hole Mass and Bulge Mass



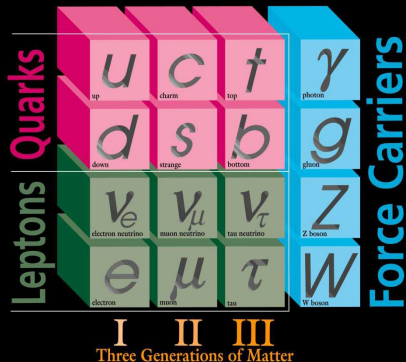
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# Standard Model of Particle Physics

- What types of black holes do current particle physics theories predict?
- Standard Model is modelled after Maxwell's Theory of Electromagnetism
- Standard Model: gauge field theory

## ELEMENTARY PARTICLES



# Maxwell Theory

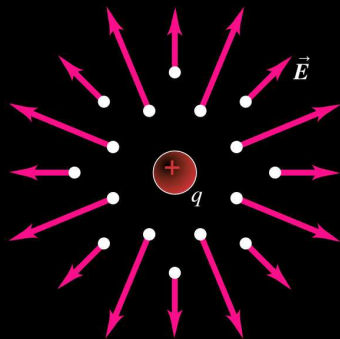
electric field  $\vec{E}$ , magnetic field  $\vec{B}$   
 electromagnetic potential  $A^\mu$ :  $(\Phi, \vec{A})$

$$\vec{E} = -\nabla\Phi - \partial_t\vec{A}, \quad \vec{B} = \nabla \times \vec{A}$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

the field strength tensor  $F_{\mu\nu}$  is gauge invariant, while  $A_\mu \rightarrow A_\mu + \partial_\mu\chi$

- the electromagnetic field can carry **energy**
- the electromagnetic field can carry momentum
- the electromagnetic field can carry **angular momentum**



Coulomb field

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# Einstein–Maxwell Equations

## Einstein–Maxwell theory

- Einstein equations

$$\text{Einstein tensor} \longrightarrow G_{\mu\nu} = 8\pi G T_{\mu\nu} \longleftarrow \text{stress–energy tensor}$$

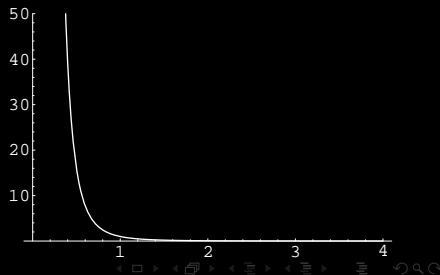
- Maxwell field equations

$$\frac{1}{\sqrt{-g}} \partial_{\mu} (\sqrt{-g} F^{\mu\nu}) = 0$$

For spherical symmetry:

$$T_{00} \sim E^2 \sim \frac{1}{r^4}$$

what are the properties of charged black holes?



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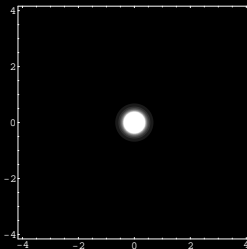
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# Reissner–Nordström Black Holes

1916: H. Reissner, 1918: G. Nordström  
 charged static spherically symmetric black holes:

$$N(r) = 1 - \frac{2M}{r} + \frac{Q^2}{r^2}$$

- electrically charged black hole:  $M$ ,  $Q$ 
  - horizons:  $r_{\pm} = M \pm \sqrt{M^2 - Q^2}$
  - event horizon:  $r_H = M + \sqrt{M^2 - Q^2}$
- magnetically charged black hole:  $M$ ,  $P$

energy density outside the horizon due to the  
 Coulomb field of the charge  $Q$

$$M = M_H + M_{\text{outside}} = M_H + 2\Phi_H Q$$



Hans J. Reissner  
 1874 – 1967



Gunnar Nordström  
 1881 – 1923

# Reissner–Nordström Black Holes

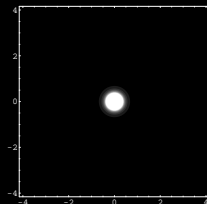
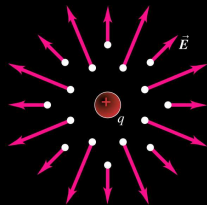
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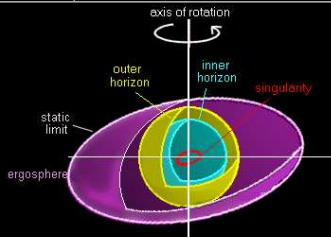
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# Kerr–Newman Black Holes

charged rotating black holes: adding charge to the Kerr solution

global charges:	$M, J = aM, Q, P$	
dipole moments:	$\mu_{\text{mag}} = g_{\text{mag}} \frac{Q}{2M} J, \quad \mu_{\text{el}} = g_{\text{el}} \frac{P}{2M} J$	$(g_{\text{Dirac}} = 2)$
horizons:	$r_{\pm} = M \pm \sqrt{M^2 - a^2 - Q^2 - P^2}$	$(\Delta = 0)$
static limit:	$r_0 = M + \sqrt{M^2 - a^2 \cos^2 \theta - Q^2 - P^2}$	$(g_{tt} = 0)$
horizon velocity:	$\Omega = \frac{a}{r_+^2 + a^2}$	



# Rotation and Deformation

- Kerr–Newman black holes co-rotate

$$\Omega > 0 \quad \Leftrightarrow \quad J > 0$$

they do not counter-rotate:

$$\Omega > 0 \quad , \quad J < 0$$

- a static horizon implies vanishing angular momentum

$$\Omega = 0 \quad \Leftrightarrow \quad J = 0$$

there are no black holes with

$$\Omega = 0 \quad , \quad J \neq 0 \quad \text{or} \quad \Omega \neq 0 \quad , \quad J = 0$$

- rotation implies an oblate deformation of the horizon

# Summary: Einstein–Maxwell Black Holes

	static	rotating
spherically symmetric	Schwarzschild ( $M$ ) Reissner-Nordström ( $M, Q, P$ )	–
axially symmetric	–	Kerr ( $M, J$ ) Kerr–Newman ( $M, Q, P, J$ )

- Uniqueness theorem

black holes are uniquely determined by their mass  $M$ , angular momentum  $J$ , charges  $Q$  and  $P$

- Israel's theorem

static black holes are spherically symmetric

- Staticity theorem

stationary black holes with non-rotating horizon are static



Werner Israel

\*1931

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# Non-Abelian Fields

- standard model

- QCD: gluons  $a = 1, \dots, 8$
- WS:  $W^\pm, Z^0$   
non-Abelian gauge fields  
non-linearity in field strength tensor

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc} A_\mu^b A_\nu^c$$

- effective theories

- Skyrme model of strong interactions  
non-Abelian scalar and pseudo-scalar  
fields

$$\sigma^2 + \vec{\pi}^2 = f^2$$

What are the consequences of the presence of non-Abelian fields?



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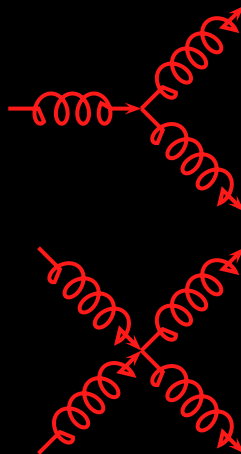
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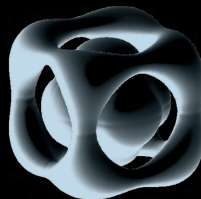


# Regular Solutions: Solitons

**solitons in flat space:** extended solutions with finite energy

examples: nucleons, nuclei, magnetic monopoles, etc.

- static spherically symmetric solitons
- static axially symmetric solitons
- static platonic solitons



**coupling to gravity: gravitating solitons**

# Non-Uniqueness of Black Holes

black hole solutions: Volkov, Gal'tsov 1989, et al.

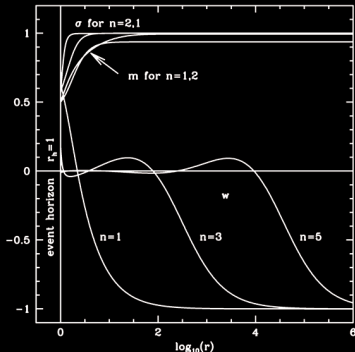
metric:

$$ds^2 = -A^2(r)N(r)dt^2 + \frac{1}{N(r)}dr^2 + r^2d\Omega^2$$

gauge potential:

$$A_\mu dx^\mu = \frac{1 - w_k(r)}{2} [\tau_\varphi d\theta - \sin\theta\tau_\theta d\varphi]$$

- regular at  $r = r_H$
- asymptotically flat
- node number  $k$   
 $k = 1, \dots, \infty$
- limiting solution  
 $k \rightarrow \infty$ : RN
- no charge
- **no uniqueness**



# Static Axially Symmetric Black Holes

black hole solutions: Kleihaus, Kunz 1997

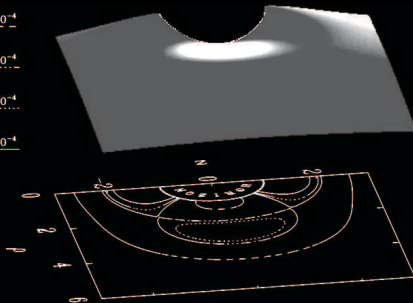
- regular horizon
- asymptotically flat
- no charge
- no uniqueness
- static but axially symmetric
- deformed horizon

$$\epsilon = 10.57 \cdot 10^{-4}$$

$$\epsilon = 12.97 \cdot 10^{-4}$$

$$\epsilon = 13.27 \cdot 10^{-4}$$

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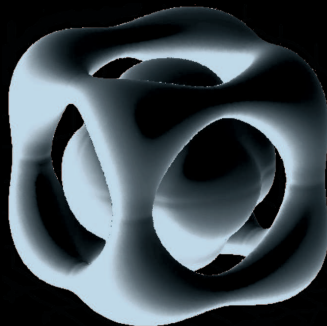
# Platonic Black Holes

Challenge:

Are there black holes with only discrete symmetries?

Theodora Ioannidou,  
Burkhard Kleihaus,  
Jutta Kunz,  
Kari Myklevoll

work in progress



platonic black holes?

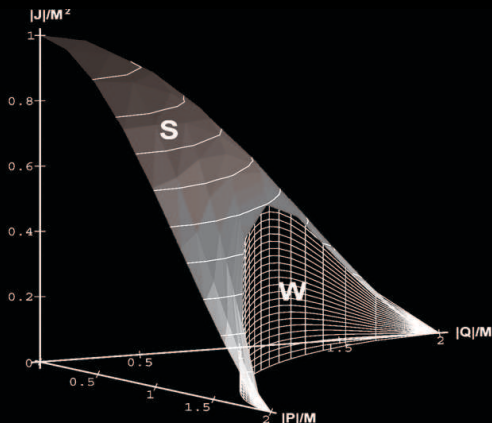
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# Inclusion of a Scalar Field: Dilaton with $\gamma = \sqrt{3}$

Rasheed 1995

domain of existence of Einstein–Maxwell–dilaton black holes:  $\gamma = \sqrt{3}$



vertical wall  $W$ :

stationary black holes  
with static horizon:

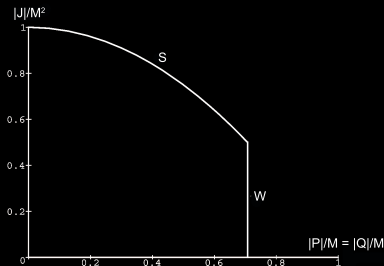
$$\Omega = 0, J \neq 0$$

$J$  increases,  $M = \text{const}$

- frame dragging?
- effect of rotation?

# Angular Momentum and Deformation

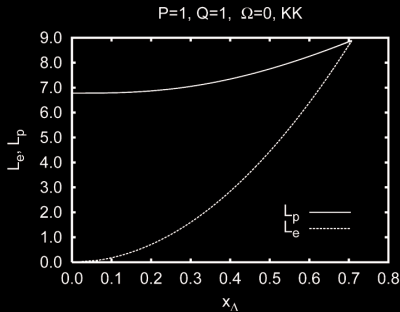
extremal  $|P| = |Q|$  solutions



vertical wall  $W$ :

$\Omega = 0$ ,  $J \neq 0$  black holes

- a negative fraction of  $J$  resides behind the horizon:  $J_H < 0$
- effect of the rotation: **prolate deformation of the horizon**

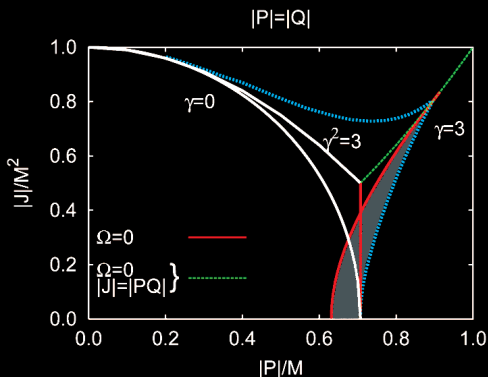


horizon circumferences:

$L_e$  and  $L_p$

EMD Black Holes:  $\gamma > \sqrt{3}$ 

Kleihaus, Kunz, Navarro-Lérida 2004



.....  
 extremal:  $|P| = |Q|$

———  
 stationary:  $\Omega = 0$

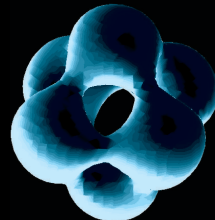
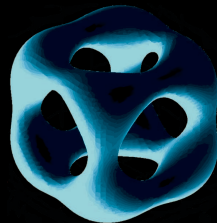
-----  
 stationary:  $\Omega = 0$ ,  
 $J = PQ$

what is in the shaded  
 region?  
 counterrotating black  
 holes



# Conclusions: Surprises with Microscopic Black Holes

- Kerr black holes: astrophysical black holes
- Kerr-Newman black holes:  
uniqueness theorem  
Israel's theorem
- static non-Abelian black holes:  
no uniqueness in terms of global charges  
not spherically symmetric static black holes  
**platonic black holes?**
- rotating EMD black holes:  
 $\Omega = 0, J \neq 0$   
 $\Omega < 0, J > 0$   
prolate deformation



# Outlook: Further Surprises?

## Higher dimensions:

- black holes
  - non-uniqueness of black holes
  - $\Omega \neq 0, J = 0$  black holes
  - non-static  $\Omega = 0, J = 0$  black holes
  - black holes with negative horizon mass
  - ???
- black rings
- black strings
- ???

