

Steered Response Power-Based Direction-of-Arrival Estimation Exploiting an Auxiliary Microphone EUSIPCO 2024, Lyon

Klaus Brümann, Simon Doclo,

Carl von Ossietzky Universität Oldenburg

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Problem

- > For closely spaced microphones, spatial coherence of noise & reverberation typically high
- CPSDs negatively affected over extended frequency range
- DOA estimation errors can occur despite PHAT weighting







m_A

Assuming additional microphone is available:

- position is unknown
- spatially separated from compact microphone array





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Proposed Idea

Compute more reliable CPSDs between \mathbf{m}_i and \mathbf{m}_j based on:

- CPSDs between m_i and m_A
- CPSDs between m_A and m_j

 \Rightarrow exploit spatial separation between m_A and compact microphone array



Signal Model and Acoustic Scenario

Signal Model





Signal Model and Acoustic Scenario

Signal Model



Direct component in *j*-th microphone

$$\begin{split} X_j^D(\omega) &= \frac{1}{4\pi d_j} \exp(-\jmath \omega d_j / \nu) S(\omega) \\ &= X_i^D(\omega) \exp(\jmath \omega \tau_{ij}(\mathbf{v}_s)) \end{split}$$

with (far field) TDOA $\tau_{ij}(\mathbf{v}_s) = \mathbf{v}_s^{\mathsf{T}}(\mathbf{m}_j - \mathbf{m}_i)/\nu$

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Slide 4 27.08.2024



SRP-Based DOA Estimation

SRP-PHAT Function with candidate DOA vector **v**

$$\varphi(\mathbf{v}) = \sum_{(i,j): i > j} \int_{-\infty}^{\infty} \underbrace{\psi_{ij}(\omega)}_{\text{Signal}} \underbrace{\exp(\jmath\omega\tau_{ij}(\mathbf{v}))}_{\text{Model}} d\omega$$
PHAT-weighted CPSD
$$\psi_{ij}(\omega) = \frac{\mathbb{E}\{Y_i(\omega) \; Y_j^*(\omega)\}}{|\underbrace{\mathbb{E}\{Y_i(\omega) \; Y_j^*(\omega)\}}_{\tilde{\psi}_{ij}(\omega)}|}$$



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SRP-Based DOA Estimation



PHAT-Weighted CPSD

Assuming

mutually uncorrelated direct and undesired (noise and reverberation) components

PHAT-weighted CPSD:

$$\psi_{ij}(\omega) = \frac{\bar{\psi}_{ij}^D(\omega) + \bar{\psi}_{ij}^U(\omega)}{|\bar{\psi}_{ij}^D(\omega) + \bar{\psi}_{ij}^U(\omega)|}$$

PHAT-Weighted CPSD

Assuming

mutually uncorrelated direct and undesired (noise and reverberation) components

spherically diffuse undesired component

PHAT-weighted CPSD:

$$\begin{split} \psi_{ij}(\omega) &= \frac{\tilde{\psi}_{ij}^{D}(\omega) + \tilde{\psi}_{ij}^{U}(\omega)}{|\tilde{\psi}_{ij}^{D}(\omega) + \tilde{\psi}_{ij}^{U}(\omega)|} \\ &= \frac{\frac{\phi_{S}(\omega)}{16\pi^{2}d_{c}^{2}}\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{s})) + \phi_{U}(\omega)\operatorname{sinc}(\frac{\omega d_{ij}}{\nu})}{|\frac{\phi_{S}(\omega)}{16\pi^{2}d_{c}^{2}}\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{s})) + \phi_{U}(\omega)\operatorname{sinc}(\frac{\omega d_{ij}}{\nu})|} \end{split}$$

PHAT-Weighted CPSD

Assuming

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PHAT-weighted CPSD:

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For small inter-microphone distances $d_{ij} \Rightarrow \operatorname{sinc}(\frac{\omega d_{ij}}{\nu})$ affects many frequencies

PHAT-Weighted CPSD Using Auxiliary Microphone

Assuming

mutually uncorrelated direct and undesired (noise and reverberation) components

spherically diffuse undesired component

PHAT-weighted CPSD:

$$\begin{split} \psi_{ij}(\omega) &= \frac{\bar{\psi}_{ij}^{D}(\omega) + \bar{\psi}_{ij}^{U}(\omega)}{|\bar{\psi}_{ij}^{D}(\omega) + \bar{\psi}_{ij}^{U}(\omega)|} \\ &= \frac{\frac{\phi_{S}(\omega)}{16\pi^{2}d_{c}^{2}}\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{S})) + \phi_{U}(\omega)\operatorname{sinc}(\frac{\omega d_{ij}}{\nu})}{|\frac{\phi_{S}(\omega)}{16\pi^{2}d_{c}^{2}}\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{S})) + \phi_{U}(\omega)\operatorname{sinc}(\frac{\omega d_{ij}}{\nu})|} \end{split}$$

For small inter-microphone distances $d_{ij} \Rightarrow \operatorname{sinc}(\frac{\omega d_{ij}}{\nu})$ affects many frequencies

Proposed Method

Since d_{Ai} and d_{Ai} typically larger than d_{ij} , we propose to compute CPSD based on auxiliary microphone

$$\psi_{ij}^{\mathsf{A}}(\omega) = \psi_{i\mathsf{A}}(\omega) \psi_{\mathsf{A}j}(\omega)$$



Distortion of CPSD

Without Auxiliary Microphone

$$\psi_{ij}(\omega) = \frac{\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_s)) + \underbrace{\frac{16\pi^2 d_c^2}{\text{SUR}(\omega)} \operatorname{sinc}\left(\frac{\omega d_{ij}}{\nu}\right)}_{|\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_s)) + \frac{16\pi^2 d_c^2}{\text{SUR}(\omega)} \operatorname{sinc}\left(\frac{\omega d_{ij}}{\nu}\right)|}$$

► source-to-undesired ratio SUR(
$$\omega$$
) = $\frac{\phi_S(\omega)}{\phi_U(\omega)}$ (defined at source position).

b Distortion term $D_{ij}(\omega)$



Distortion of CPSD

Without Auxiliary Microphone

$$\psi_{ij}(\omega) = \frac{\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_s)) + \underbrace{\frac{16\pi^2 d_c^2}{\mathrm{SUR}(\omega)}\operatorname{sinc}\left(\frac{\omega d_{ij}}{\nu}\right)}_{|\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_s)) + \frac{16\pi^2 d_c^2}{\mathrm{SUR}(\omega)}\operatorname{sinc}\left(\frac{\omega d_{ij}}{\nu}\right)|}$$

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• Distortion term $D_{ij}(\omega)$

With Auxiliary Microphone

$$\psi_{ij}^{\mathsf{A}}(\omega) = \frac{\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{s})) + D_{ij}^{\mathsf{A}}(\omega)}{|\exp(-\jmath\omega\tau_{ij}(\mathbf{v}_{s})) + D_{ij}^{\mathsf{A}}(\omega)|}$$
$$D_{ij}^{\mathsf{A}}(\omega) = \frac{(4\pi)^{2}d_{c}d_{A}}{\mathrm{SUR}(\omega)} \left[\operatorname{sinc}(\omega d_{Ai}/\nu) \exp(-\jmath\omega\tau_{Aj}(\mathbf{v}_{s})) + \operatorname{sinc}(\omega d_{Aj}/\nu) \exp(-\jmath\omega\tau_{iA}(\mathbf{v}_{s}))\right] + \frac{(4\pi)^{4}d_{c}^{2}d_{A}^{2}}{\mathrm{SUR}^{2}(\omega)} \operatorname{sinc}(\omega d_{Ai}/\nu) \operatorname{sinc}(\omega d_{Aj}/\nu)$$



Analysis and Evaluation

Numerical Analysis - Based on Theoretical Equations

Proportion of frequencies for which
$$D_{ij}^{A}(\omega) \leq D_{ij}(\omega)$$
:

$$P = \frac{1}{\omega_{0}} \int_{0}^{\omega_{0}} \mathcal{H}\left(|D_{ij}(\omega)| - |D_{ij}^{A}(\omega)|\right) d\omega$$

($\mathcal{H}(x)$: Heaviside step function)

- average *P* over 18 array orientations ($M = 2, d_{12} = 5$ cm)
- SURs: 15, 10, 5, 0 dB



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Evaluation - Based on Simulated Signals

DOA estimation error: $\varepsilon = \cos^{-1} \left(\frac{\hat{\mathbf{v}}_{s}^{\mathsf{T}} \mathbf{v}_{s}}{||\hat{\mathbf{v}}_{s}||_{2} \cdot ||\mathbf{v}_{s}||_{2}} \right)$

- ▶ average ε over 12 array orientations & 10 speech signals (M = 3, $d_{ij} = 5$ cm)
- > Two reverberation and noise conditions (based on simulated RIRs and diffuse babble noise):

1. DRR = RSNR = -1.4 dB (SUR
$$\approx$$
 15 dB)

- 2. DRR = RSNR = -7.2 dB (SUR \approx 0 dB)
- ▶ 3 s signals, *f*_s = 16 kHz, STFT: 512 sample frame length (32 ms), 50% overlap



Analysis of Distortion



Each point in 2D plane corresponds to a different auxiliary microphone position

Auxiliary microphone positions outside of red circle $\Rightarrow D_{ii}^{A}(\omega) < D_{ii}(\omega)$ for more than 50% of frequencies

Auxiliary microphone is beneficial when spatially separated from compact microphone array. For lower SUR, spatial separation needs to be larger

Slide 9 27.08.2024



Signal-Based Evaluation



Auxiliary microphone brings benefit when spatially separated from compact microphone array In more challenging condition, spatial separation needs to be larger



Signal-Based Evaluation



Auxiliary microphone brings benefit when spatially separated from compact microphone array In more challenging condition, spatial separation needs to be larger Simulation results correspond very well with theoretical analysis of distortion

Conclusions and Outlook

Conclusions:

- ✓ SRP-based DOA estimation can be significantly improved by incorporating auxiliary microphone which is spatially separated from compact microphone array
- ✓ Benefit of using auxiliary microphone can be predicted by theoretical analysis of distortion → required spatial separation mainly depends on source-to-undesired ratio

Outlook:

- Multiple auxiliary microphones
- Multiple sources