**Supervision Agreement**

This agreement is between:

|  |  |
| --- | --- |
| Klicken oder tippen Sie hier, um Text einzugeben. | Doctoral Candidate |
| Klicken oder tippen Sie hier, um Text einzugeben. | Primary Supervisor |

The supervisor and the doctoral candidate agree on the preparation of a doctoral thesis, which is to be prepared and defended under the authority of the school  V or  VI and in accordance with the following conditions:

1. **Thesis** 
   1. The provisional title and planned research topic of the doctoral thesis is:

Klicken oder tippen Sie hier, um Text einzugeben.

The thesis will be written in  English or  German. It is envisaged that the doctorate will be completed as a  publication-based dissertation or  monograph. The doctoral project is described in detail in the thesis concept paper, which is appended to this agreement.

**Enrolment at the University of Oldenburg:**

The doctoral candidate is enrolled at the University of Oldenburg.

The doctoral candidate will enrol at the University of Oldenburg after admission to the doctorate by the **doctoral degree board of the** school.

**Participation in the programme of the Graduate School OLTECH:**

Participation in the programme of the Graduate School OLTECH is planned.

* 1. It is agreed that the thesis is to be written within the following time frame:

Start date Klicken oder tippen Sie hier, um Text einzugeben.[MM.YYYY]

Submission date Klicken oder tippen Sie hier, um Text einzugeben.[MM.YYYY]

(usually 3-5years).

In exceptional cases, this time frame may be extended, by resolution of the supervisory/thesis committee, and this, for a length of time to be determined by the supervisory/thesis committee.

The doctoral project will be supervised by an advisory/thesis committee (in accordance with § 11 (2) of the schools [II / V / VI] doctoral regulations). The advisory/thesis committee is not the same as the examination committee (see § 6 of the doctoral regulations of the schools [II / V / VI]) and the assessors (see § 7 of the doctoral regulations of the schools [II / V / VI]).

**Members of the supervisory/thesis committee are:**

|  |  |  |
| --- | --- | --- |
| 1. Klicken oder tippen Sie hier, um Text einzugeben. | Primary Supervisor |  |
| 2. Klicken oder tippen Sie hier, um Text einzugeben. | Secondary Supervisor |  |
| 3. Klicken oder tippen Sie hier, um Text einzugeben. | Further member of  the supervisory/thesis committee  (if applicable) |  |
| 4. Klicken oder tippen Sie hier, um Text einzugeben. | Further member of  the supervisory/thesis committee  (if applicable) |  |
| 5. Klicken oder tippen Sie hier, um Text einzugeben. | Further member of  the supervisory/thesis committee  (if applicable) |  |

1. **Supervision** 
   1. **Responsibilities:** It is the responsibility of the primary supervisor to provide the doctoral candidate with an adequately equipped (laboratory) work space and to accompany and support the candidate's independent research. The primary supervisor will support the career of the doctoral candidate and ensure the quality of the doctorate project.

The supervision of the PhD student is expected to be not solely in the hands of the primary advisor. The supervisory/thesis committee contributes to the progress of the doctorate by the discussion of the methodology, the results and the timeframe of the doctoral project. The supervisory/thesis committee shall consist of the persons mentioned above, i.e. the primary supervisor and one or more other supervisors, who are eligible according to the doctoral regulations of the faculty V / VI. The supervisory/thesis committee meets at least once a year to discuss the doctoral project. At these meetings, the PhD student must provide an update on the project status and take minutes of the meeting. Both documents have to be sent to the members of the supervisory/thesis committee and can be filed at the Graduate School OLTECH or the coordinators of the PhD study programme; and in the case of an individual doctorate, with the faculty doctoral degree board.

* 1. **Notification of changes:** The doctoral candidate must notify the first supervisor, the members of the advisory/thesis committee and the doctoral degree board of the faculty of any changes to the topic of the dissertation or their postal address.
  2. **Timelines and reports**: At the start of the doctorate, the doctoral student has to develop a project plan, which is also the planned timing of the project on a timeline. This is to ensure a successful processing of the doctoral project within the planned total period (usually three to four years). The PhD student must document the course of the project in regular reports to the supervisory/thesis committee Klicken oder tippen Sie hier, um Text einzugeben. (annually or bi-annually); as described in section 2a. Each report must be accompanied by an updated timeline.

1. **Good scientific practice**
   1. German universities and research institutions guarantee scientific independence in research and teaching. This freedom and independence is coupled with the individual responsibility to implement, keep and defend, if necessary, the fundamental values and standards of good scientific practice. The successful implementation of the principles of good scientific practice is a prerequisite for a high level of scientific standards.
   2. The signatories agree to comply with the principles of good scientific practice.

The current guidelines of good scientific practice at the University of Oldenburg and the recommendations of the DFG (German Research Foundation) on the principles of good scientific practice can be viewed on the Internet at any time.

* + Guidelines of good scientific practice at UOL: <https://uol.de/uni/amtliche_mitteilungen/datei/?file=AM2022-065_Regulations_governing_principles_safeguarding_good_academic_work_EN.pdf&ts=1729634400>
  + Recommendations of the DFG <https://www.dfg.de/en/research_funding/principles_dfg_funding/good_scientific_practice/>
  1. **Awareness of and sensitivity to the principles of good scientific practice**: Honesty and truthfulness need to be absolute priority in scientific work. Doctoral students must know the principles of good scientific practice. Knowledge of the contents of the principles of good scientific practice should be imparted in the context of work and supervision and form the basis for communication with each other. In addition, the visit of a course of at least one day on the subject is mandatory for doctoral students in some of the OLTECH PhD study programmes. The principles of good scientific practice can always be consulted by the doctoral candidate.
  2. **Cooperation and leadership responsibility in working groups**: Doctoral students are responsible for their own research work. The primary supervisor is responsible for creating a good cooperative working climate among the group members. The individual members of the working group have to trust one another to be able to work productively, because trust is the basis of an open discussion and communication culture. The cooperation within the work group must enable the presentation of scientific results, critical discussion and incorporation of this into the common pool of experience.
  3. **Publications:** In agreement with the primary supervisor, the doctoral students shall publish their new scientific findings, together with the primary supervisor, with others or alone in scientific journals, book chapters or conference journals. Multiple authors of the publications are jointly responsible for the contents of their publications. At the same time, all authors gain the rights to the common intellectual property (e.g. copyright). The publication date is important for documenting any claim. Publication requires the written consent of all authors to the final version. Implicit consent is not permitted if no response is received from the co-author after a deadline has been set. In the event that a co-author is unavailable (can no longer be contacted, "orphan data") or if data is actively abandoned by the co-author, the use of data is possible. In this case, the supporter must be named in the footnotes, in the preface or in the acknowledgement.

The authors of a scientific publication should be all those who have made a significant contribution to the concept, implementation, analysis and interpretation of a study as well as the writing of the manuscript. A so-called "honorary authorship" is not permitted. It is adequate to name supporters in the footnotes and acknowledgements.

The use of research data primarily belongs to those who collected it. In the earliest possible phase of a research project, all parties involved should therefore draw up written agreements on the rights and obligations regarding the use of their own and third-party research data, which also apply beyond the period of employment or qualification. The agreements should be reviewed regularly and updated if necessary.

Written and documented agreements are particularly necessary if additional persons from other academic and/or non-academic institutions are involved in a research project or if participants leave and wish to continue using the data they have generated for (their own) research purposes.

* 1. **Scientific misconduct:** Scientific misconduct, as formulated in the DFG memorandum of good scientific practice, e.g. the production and use of incorrect data, the impairment of others’ research work, the disregard of the intellectual property rights of third parties must be avoided in any case (see also the recommendations of the DFG). The Carl von Ossietzky University of Oldenburg has appointed two trusted third parties who advise on issues of good scientific practice and can be contacted in cases of suspected scientific misconduct (<https://uol.de/senat/kommission-fuer-gute-wissenschaftliche-praxis>). Furthermore, the university’s Commission for Research Assessment and Ethics is entrusted with investigating suspected scientific misconduct. Rules of procedure and deadlines for the investigation of suspected cases have been established in order to define the rights of the involved parties. The type of sanction shall depend on the seriousness of the proven misconduct and includes consequences for the employment relationship, civil law penalties or fines for those responsible. The Carl von Ossietzky University of Oldenburg has defined the procedures in cases of suspected scientific misconduct in a Code of Procedure (German only, see 3) b)).

1. **Gender Equality and Family-Friendliness**

The University of Oldenburg is certified as a family-friendly university. Gender equality is an important goal for the University of Oldenburg. More information on family-friendly university, including childcare or care of dependents, may be found on the university's website

* <https://uol.de/en/division1/personnel-and-project-planning/family-service>

Doctoral candidates and supervisors agree that they will agree and implement family-friendly working hours, if the family situation of doctoral candidate requires it. Due to the special requirements of a scientific doctorate, any agreements on laboratory working hours are always individual agreements.

1. **Resolving conflicts**

In the event of a conflict between the doctoral student and supervisor, both parties agree to calling in an additional third party, e.g. the coordinator of the doctorate programme, the director of the OLTECH Graduate School or an ombudsperson specified by the relevant doctoral degree committee.

In the event of a termination of the supervisory relationship, for which the doctoral candidate is not responsible, the faculty will seek an alternative, professionally appropriate supervisory relationship.

1. **Authorisation**

The following formal requirements exist for the doctoral project:

**Ethics vote** in clinical trials on humans, epidemiological studies with personal data or examinations of human material with personal reference:

planned requested [date:Klicken oder tippen Sie hier, um Text einzugeben. ]

existing not mandatory

**Genetic engineering permit** or notice:

planned requested [date:Klicken oder tippen Sie hier, um Text einzugeben. ]

existing not mandatory

Additional **authorisation from other research institutions or companies** is necessary for the doctoral project.

planned requested [date:Klicken oder tippen Sie hier, um Text einzugeben. ]

existing not mandatory

Names of the research institutions or companies: Klicken oder tippen Sie hier, um Text einzugeben.

**Animal experiment permit** (please make sure to contact the responsible animal welfare officer in good time before starting work):

planned requested [date:Klicken oder tippen Sie hier, um Text einzugeben. ]

existing not mandatory

Work on the doctoral project may only be started once the necessary authorisations have been obtained.

The first supervisor and the doctoral student agree that all the necessary funds for the work on the doctoral thesis are available.

The doctoral student and supervisor agree to consider the supervision agreement as binding, knowing that it is not a legally enforceable document. This agreement is binding after it has been signed by the persons listed below.

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| --- | --- | --- | --- |
| Klicken oder tippen Sie hier, um Text einzugeben. |  |  | (Doctoral candidate) |
| (place, date) |  | (signature) |  |
| Klicken oder tippen Sie hier, um Text einzugeben. |  |  | (Primary Supervisor) |
| (place, date) |  | (signature) |  |
| Klicken oder tippen Sie hier, um Text einzugeben. |  |  | (Secondary Supervisor / mandatory for school VI, optional for school V and II) |
| (place, date) |  | (signature) |  |
| Seen: |  | Klicken oder tippen Sie hier, um Text einzugeben. |  |
| Klicken oder tippen Sie hier, um Text einzugeben. |  |  | **A representative from**  **the school doctoral degree board**  **or the Graduate School Science, Medicine and Technology (OLTECH)** |
| (place, date) |  | (signature) |  |
|  |  |  |  |